PRELIMINARY DRAFT No. 3462

PREPARED BY LEGISLATIVE SERVICES AGENCY 2005 GENERAL ASSEMBLY

DIGEST

Citations Affected: IC 10-14; IC 16-31-3-14.

Synopsis: Emergency management. Requires the state emergency management agency to establish a program to certify emergency management organizations and professional emergency managers. Requires a county emergency management organization or interjurisdictional disaster agency in which a county participates to be certified as an emergency management organization. Requires a county emergency management and disaster director to be certified as a professional emergency manager.

Effective: July 1, 2005.

20051670



A BILL FOR AN ACT to amend the Indiana Code concerning public safety.

Be it enacted by the General Assembly of the State of Indiana:

1	SECTION 1. IC 10-14-3-17 IS AMENDED TO READ AS
2	FOLLOWS [EFFECTIVE JULY 1, 2005]: Sec. 17. (a) A political
3	subdivision is:
4	(1) within the jurisdiction of; and
5	(2) served by;
6	a department of emergency management or by an interjurisdictional
7	agency responsible for disaster preparedness and coordination of
8	response.
9	(b) A county shall:
0	(1) maintain:
1	(A) a county emergency management advisory council; and
2	(B) a county emergency management organization that is
3	certified as an emergency management organization under
4	IC 10-14-10; or
.5	(2) participate in an interjurisdictional disaster agency that:
6	(A) except as otherwise provided under this chapter, may have
.7	jurisdiction over and serve the entire county; and
8	(B) is certified as an emergency management organization
9	under IC 10-14-10.
20	(c) The following are not required to be certified as emergency
2.1	management organizations under IC 10-14-10 until July 1, 2010:
22	(1) A county emergency management organization that exists
23	on July 1, 2005.
24	(2) An interjurisdictional disaster agency in which a county
25	participates that exists on July 1, 2005.
26	This subsection expires July 2, 2010.
27	(c) (d) The county emergency management advisory council
28	consists of the following individuals or their designees:
29	(1) The president of the county executive or, if the county
0	executive does not have a president, a member of the county
31	executive appointed from the membership of the county



1	executive.
2	(2) The president of the county fiscal body.
3	(3) The mayor of each city located in the county.
4	(4) An individual representing the legislative bodies of all towns
5	located in the county.
6	(5) Representatives of private and public agencies or
7	organizations that can assist emergency management considered
8	appropriate by the county emergency management advisory
9	council.
10	(6) One (1) commander of a local civil air patrol unit in the
11	county or the commander's designee.
12	(d) (e) The county emergency management advisory council shall
13	do the following:
14	(1) Exercise general supervision and control over the emergency
15	management and disaster program of the county.
16	(2) Select or cause to be selected, with the approval of the county
17	executive, a county emergency management and disaster director
18	who:
19	(A) has direct responsibility for the organization,
20	administration, and operation of the emergency management
21	program in the county; and
22	(B) is responsible to the chairman of the county emergency
23	management advisory council;
24	(C) must be a full-time employee of:
24 25	(C) must be a full-time employee of:(i) the county; or
24 25 26	(C) must be a full-time employee of:(i) the county; or(ii) two (2) counties acting jointly under IC 36-1-7; and
24252627	(C) must be a full-time employee of:(i) the county; or
24 25 26	 (C) must be a full-time employee of: (i) the county; or (ii) two (2) counties acting jointly under IC 36-1-7; and (D) must be certified as a professional emergency manager under IC 10-14-9.
24 25 26 27 28	 (C) must be a full-time employee of: (i) the county; or (ii) two (2) counties acting jointly under IC 36-1-7; and (D) must be certified as a professional emergency manager under IC 10-14-9. (f) An individual who is employed by a county as the county
24 25 26 27 28 29	 (C) must be a full-time employee of: (i) the county; or (ii) two (2) counties acting jointly under IC 36-1-7; and (D) must be certified as a professional emergency manager under IC 10-14-9. (f) An individual who is employed by a county as the county emergency management and disaster director on July 1, 2005, may
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24 25 26 27 28 29 30 31	(C) must be a full-time employee of: (i) the county; or (ii) two (2) counties acting jointly under IC 36-1-7; and (D) must be certified as a professional emergency manager under IC 10-14-9. (f) An individual who is employed by a county as the county emergency management and disaster director on July 1, 2005, may continue to act as the county emergency management and disaster
24 25 26 27 28 29 30 31 32	(C) must be a full-time employee of: (i) the county; or (ii) two (2) counties acting jointly under IC 36-1-7; and (D) must be certified as a professional emergency manager under IC 10-14-9. (f) An individual who is employed by a county as the county emergency management and disaster director on July 1, 2005, may continue to act as the county emergency management and disaster director until July 1, 2009, without being certified as a professional
24 25 26 27 28 29 30 31 32 33	(C) must be a full-time employee of: (i) the county; or (ii) two (2) counties acting jointly under IC 36-1-7; and (D) must be certified as a professional emergency manager under IC 10-14-9. (f) An individual who is employed by a county as the county emergency management and disaster director on July 1, 2005, may continue to act as the county emergency management and disaster director until July 1, 2009, without being certified as a professional emergency manager or obtaining a provisional certificate under
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24 25 26 27 28 29 30 31 32 33 34 35	(C) must be a full-time employee of: (i) the county; or (ii) two (2) counties acting jointly under IC 36-1-7; and (D) must be certified as a professional emergency manager under IC 10-14-9. (f) An individual who is employed by a county as the county emergency management and disaster director on July 1, 2005, may continue to act as the county emergency management and disaster director until July 1, 2009, without being certified as a professional emergency manager or obtaining a provisional certificate under IC 10-14-9. This subsection expires July 2, 2009. (c) (g) Notwithstanding any provision of this chapter or other law to
24 25 26 27 28 29 30 31 32 33 34 35 36	(C) must be a full-time employee of: (i) the county; or (ii) two (2) counties acting jointly under IC 36-1-7; and (D) must be certified as a professional emergency manager under IC 10-14-9. (f) An individual who is employed by a county as the county emergency management and disaster director on July 1, 2005, may continue to act as the county emergency management and disaster director until July 1, 2009, without being certified as a professional emergency manager or obtaining a provisional certificate under IC 10-14-9. This subsection expires July 2, 2009. (e) (g) Notwithstanding any provision of this chapter or other law to the contrary, the governor may require a political subdivision to
24 25 26 27 28 29 30 31 32 33 34 35 36 37	(C) must be a full-time employee of: (i) the county; or (ii) two (2) counties acting jointly under IC 36-1-7; and (D) must be certified as a professional emergency manager under IC 10-14-9. (f) An individual who is employed by a county as the county emergency management and disaster director on July 1, 2005, may continue to act as the county emergency management and disaster director until July 1, 2009, without being certified as a professional emergency manager or obtaining a provisional certificate under IC 10-14-9. This subsection expires July 2, 2009. (e) (g) Notwithstanding any provision of this chapter or other law to the contrary, the governor may require a political subdivision to establish and maintain a disaster agency jointly with one (1) or more
24 25 26 27 28 29 30 31 32 33 34 35 36 37 38	(C) must be a full-time employee of: (i) the county; or (ii) two (2) counties acting jointly under IC 36-1-7; and (D) must be certified as a professional emergency manager under IC 10-14-9. (f) An individual who is employed by a county as the county emergency management and disaster director on July 1, 2005, may continue to act as the county emergency management and disaster director until July 1, 2009, without being certified as a professional emergency manager or obtaining a provisional certificate under IC 10-14-9. This subsection expires July 2, 2009. (c) (g) Notwithstanding any provision of this chapter or other law to the contrary, the governor may require a political subdivision to establish and maintain a disaster agency jointly with one (1) or more contiguous political subdivisions with the concurrence of the affected
24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39	(C) must be a full-time employee of: (i) the county; or (ii) two (2) counties acting jointly under IC 36-1-7; and (D) must be certified as a professional emergency manager under IC 10-14-9. (f) An individual who is employed by a county as the county emergency management and disaster director on July 1, 2005, may continue to act as the county emergency management and disaster director until July 1, 2009, without being certified as a professional emergency manager or obtaining a provisional certificate under IC 10-14-9. This subsection expires July 2, 2009. (c) (g) Notwithstanding any provision of this chapter or other law to the contrary, the governor may require a political subdivision to establish and maintain a disaster agency jointly with one (1) or more contiguous political subdivisions with the concurrence of the affected political divisions if the governor finds that the establishment and
24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40	(C) must be a full-time employee of: (i) the county; or (ii) two (2) counties acting jointly under IC 36-1-7; and (D) must be certified as a professional emergency manager under IC 10-14-9. (f) An individual who is employed by a county as the county emergency management and disaster director on July 1, 2005, may continue to act as the county emergency management and disaster director until July 1, 2009, without being certified as a professional emergency manager or obtaining a provisional certificate under IC 10-14-9. This subsection expires July 2, 2009. (c) (g) Notwithstanding any provision of this chapter or other law to the contrary, the governor may require a political subdivision to establish and maintain a disaster agency jointly with one (1) or more contiguous political subdivisions with the concurrence of the affected political divisions if the governor finds that the establishment and maintenance of an agency or participation in one (1) is necessary by
24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41	(C) must be a full-time employee of: (i) the county; or (ii) two (2) counties acting jointly under IC 36-1-7; and (D) must be certified as a professional emergency manager under IC 10-14-9. (f) An individual who is employed by a county as the county emergency management and disaster director on July 1, 2005, may continue to act as the county emergency management and disaster director until July 1, 2009, without being certified as a professional emergency manager or obtaining a provisional certificate under IC 10-14-9. This subsection expires July 2, 2009. (e) (g) Notwithstanding any provision of this chapter or other law to the contrary, the governor may require a political subdivision to establish and maintain a disaster agency jointly with one (1) or more contiguous political subdivisions with the concurrence of the affected political divisions if the governor finds that the establishment and maintenance of an agency or participation in one (1) is necessary by circumstances or conditions that make it unusually difficult to provide:
24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42	(C) must be a full-time employee of: (i) the county; or (ii) two (2) counties acting jointly under IC 36-1-7; and (D) must be certified as a professional emergency manager under IC 10-14-9. (f) An individual who is employed by a county as the county emergency management and disaster director on July 1, 2005, may continue to act as the county emergency management and disaster director until July 1, 2009, without being certified as a professional emergency manager or obtaining a provisional certificate under IC 10-14-9. This subsection expires July 2, 2009. (c) (g) Notwithstanding any provision of this chapter or other law to the contrary, the governor may require a political subdivision to establish and maintain a disaster agency jointly with one (1) or more contiguous political subdivisions with the concurrence of the affected political divisions if the governor finds that the establishment and maintenance of an agency or participation in one (1) is necessary by circumstances or conditions that make it unusually difficult to provide: (1) disaster prevention;
24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43	(C) must be a full-time employee of: (i) the county; or (ii) two (2) counties acting jointly under IC 36-1-7; and (D) must be certified as a professional emergency manager under IC 10-14-9. (f) An individual who is employed by a county as the county emergency management and disaster director on July 1, 2005, may continue to act as the county emergency management and disaster director until July 1, 2009, without being certified as a professional emergency manager or obtaining a provisional certificate under IC 10-14-9. This subsection expires July 2, 2009. (c) (g) Notwithstanding any provision of this chapter or other law to the contrary, the governor may require a political subdivision to establish and maintain a disaster agency jointly with one (1) or more contiguous political subdivisions with the concurrence of the affected political divisions if the governor finds that the establishment and maintenance of an agency or participation in one (1) is necessary by circumstances or conditions that make it unusually difficult to provide: (1) disaster prevention; (2) preparedness;



1	(f) (h) A political subdivision that does not have a disaster agency
2	and has not made arrangements to secure or participate in the services
3	of an agency shall have an emergency management director designated
4	to facilitate the cooperation and protection of that political subdivision
5	in the work of:
6	(1) disaster prevention;
7	(2) preparedness;
8	(3) response; and
9	(4) recovery.
10	(g) (i) The county emergency management and disaster director and
11	personnel of the department may be provided with appropriate:
12	(1) office space;
13	(2) furniture;
14	(3) vehicles;
15	(4) communications;
16	(5) equipment;
17	(6) supplies;
18	(7) stationery; and
19	(8) printing;
20	in the same manner as provided for personnel of other county agencies
21	(h) (j) Each local or interjurisdictional agency shall:
22	(1) prepare; and
23	(2) keep current;
24	a local or interjurisdictional disaster emergency plan for its area.
25	(i) (k) The local or interjurisdictional disaster agency shall prepare
26	and distribute to all appropriate officials a clear and complete writter
27	statement of:
28	(1) the emergency responsibilities of all local agencies and
29	officials; and
30	(2) the disaster chain of command.
31	(j) (l) Each political subdivision may:
32	(1) appropriate and expend funds, make contracts, obtain and
33	distribute equipment, materials, and supplies for emergency
34	management and disaster purposes, provide for the health and
35	safety of persons and property, including emergency assistance to
36	the victims of a disaster resulting from enemy attack, provide for
37	a comprehensive insurance program for its emergency
38	management volunteers, and direct and coordinate the
39	development of an emergency management program and
40	emergency operations plan in accordance with the policies and
41	plans set by the federal emergency management agency and the
42	state emergency management agency;
43	(2) appoint, employ, remove, or provide, with or withou
44	compensation:
45	(A) rescue teams;
46	(B) auxiliary fire and police personnel; and



1	(C) other emergency management and disaster workers;
2	(3) establish:
3	(A) a primary; and
4	(B) one (1) or more secondary;
5	control centers to serve as command posts during an emergency;
6	(4) subject to the order of the governor or the chief executive of
7	the political subdivision, assign and make available for duty the
8	employees, property, or equipment of the political subdivision
9	relating to:
10	(A) firefighting;
11	(B) engineering;
12	(C) rescue;
13	(D) health, medical, and related services;
14	(E) police;
15	(F) transportation;
16	(G) construction; and
17	(H) similar items or services;
18	for emergency management and disaster purposes within or
19	outside the physical limits of the political subdivision; and
20	(5) in the event of a national security emergency or disaster
21	emergency as provided in section 12 of this chapter, waive
22	procedures and formalities otherwise required by law pertaining
23	to:
24	(A) the performance of public work;
25	(B) the entering into of contracts;
26	(C) the incurring of obligations;
27	(D) the employment of permanent and temporary workers;
28	(E) the use of volunteer workers;
29	(F) the rental of equipment;
30	(G) the purchase and distribution of supplies, materials, and
31	facilities; and
32	(H) the appropriation and expenditure of public funds.
33	SECTION 2. IC 10-14-9 IS ADDED TO THE INDIANA CODE AS
34	A NEW CHAPTER TO READ AS FOLLOWS [EFFECTIVE JULY
35	1, 2005]:
36	Chapter 9. Professional Emergency Manager Certification
37	Sec. 1. As used in this chapter, "county organization" means a
38	county emergency management organization established and
39	maintained by a county under IC 10-14-3-17.
40	Sec. 2. As used in this chapter, "disaster agency" means an
41	interjurisdictional disaster agency in which a county participates
12	under IC 10-14-3-17.
43	Sec. 3. As used in this chapter, "disaster director" means a
14	county emergency management and disaster director selected or
4 5	designated under IC 10-14-3-17.
16	Sec. 4. As used in this chapter, "manager" refers to a



1	professional emergency manager certified under this chapter.
2	Sec. 5. As used in this chapter, "state agency" means the state
3	emergency management agency established by IC 10-14-2-1.
4	Sec. 6. Except as provided in IC 10-14-3-17(f) and section 7 or
5	this chapter:
6	(1) a disaster director must be certified as a manager under
7	this chapter; and
8	(2) a county organization or disaster agency must be
9	supervised by a disaster director who is certified as a manager
10	under this chapter.
11	Sec. 7. (a) A vacancy in a position of disaster director may be
12	filled for not more than two (2) years by an individual with a
13	provisional certificate.
14	(b) On written request of the county emergency management
15	advisory council, the state agency may issue a provisiona
16	certificate to an individual who has been selected to fill a vacancy
17	in a position of disaster director.
18	(c) A provisional certificate expires on the earliest of the
19	following:
20	(1) The date the individual vacates the position of disaster
21	director for the county that requested the provisiona
22	certificate.
23	(2) The date the individual obtains certification as a manager
24	under this chapter.
25	(3) Two (2) years after the date the provisional certificate is
26	issued.
27	Sec. 8. (a) To be certified as a manager, an individual must:
28	(1) meet the standards for education and training established
29	by the state agency; and
30	(2) successfully complete a written competency examination
31	approved by the state agency.
32	(b) A manager certificate expires two (2) years after the date the
33	certificate is issued. To renew a certificate, a manager must mee
34	the education and training renewal standards established by the
35	state agency.
36	(c) A manager must comply with the standards established by
37	the state agency.
38	Sec. 9. (a) A manager shall keep the state agency informed of the
39	operations of the county organization or disaster agency that
40	employs the manager or supervises the manager's activities.
41	(b) A manager shall report to the agency any action by a person
42	an organization, or other entity that may justify the revocation or
43	suspension of a certificate issued by the state agency to the person
44	organization, or other entity.

Sec. 10. The state agency shall require an individual to

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complete:



1	(1) education and training requirements for certification as a
2	manager; and
3	(2) continuing education and training requirements to
4	maintain certification as a manager;
5	that are set by the state agency.
6	Sec. 11. (a) An education and training course used to fulfill the
7	requirements of section 10 of this chapter, including a continuing
8	education and training course, must be:
9	(1) approved by the state agency; and
10	(2) conducted by an instructor who meets qualifications
11	established by the state agency.
12	(b) A person may not offer or conduct:
13	(1) an education and training course to fulfill requirements
14	for certification as a manager; or
15	(2) a continuing education and training course that is
16	represented as a course for maintaining manager
17	certification;
18	unless the course is approved by the state agency and each
19	instructor meets the qualifications established by the state agency.
20	Sec. 12. (a) The state agency shall adopt rules under IC 4-22-2
21	to implement this chapter.
22	(b) The rules adopted by the state agency may establish more
23	than one (1) level of certification for managers with different:
24	(1) education and training standards;
25	(2) competency examinations; and
26	(3) continuing education requirements;
27	established for each level.
28	Sec. 13. An individual who violates this chapter is subject to
29	IC 10-14-11.
30	Sec. 14. An individual who violates this chapter commits a Class
31	C infraction. Each day of violation constitutes a separate
32	infraction.
33	SECTION 3. IC 10-14-10 IS ADDED TO THE INDIANA CODE
34	AS A NEW CHAPTER TO READ AS FOLLOWS [EFFECTIVE
35	JULY 1, 2005]:
36	Chapter 10. Certification of Emergency Management
37	Organizations
38	Sec. 1. As used in this chapter, "county organization" means a
39	county emergency management organization established and
40	maintained under IC 10-14-3-17.
41	Sec. 2. As used in this chapter, "disaster agency" means an
42	interjurisdictional disaster agency in which a county participates
43	under IC 10-14-3-17.
44	Sec. 3. As used in this chapter, "disaster director" means a
45	county emergency management and disaster director selected or

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designated under 10-14-3-17.



1	Sec. 4. As used in this chapter, "manager" refers to a
2	professional emergency manager certified under IC 10-14-9.
3	Sec. 5. As used in this chapter, "state agency" means the state
4	emergency management agency established by IC 10-14-2-1.
5	Sec. 6. A county organization or disaster agency must meet the
6	standards established by the state agency to be certified as an
7	emergency management organization.
8	Sec. 7. (a) An emergency management organization certificate
9	expires two (2) years after the date the certificate is issued.
10	(b) A certified emergency management organization must meet
11	the renewal requirements established by the state agency to renew
12	a certificate.
13	Sec. 8. A certified emergency management organization must be
14	operated in a safe, efficient, and effective manner in accordance
15	with the following requirements and with the standards established
16	by the state agency:
17	(1) If the county establishes a county organization, the county
18	shall have an emergency management advisory council in
19	accordance with IC 10-14-3-17(b).
20	(2) An ordinance, a resolution, or other measure adopted by:
21	(A) a county to establish a county emergency management
22	organization or an emergency management program; or
23	(B) a disaster agency to establish an emergency
24	management program;
25	is not effective until it is approved by the state agency.
26	(3) The disaster director of a certified emergency
27	management organization must be:
28	(A) certified as a manager under IC 10-14-9; and
29	(B) a full-time employee of:
30	(i) the county; or
31	(ii) two (2) counties acting jointly under IC 36-1-7.
32	(4) A certified emergency management organization must
33	have a current disaster emergency plan in accordance with
34	IC 10-14-3-17(j). The plan must meet the standards
35	established by the state agency.
36	(5) The state agency may require the submission of periodic
37	reports from a certified emergency management organization.
38	A certified emergency management organization must submit
39	the reports in the manner and with the frequency required by
40	the state agency.
41	(6) A certified emergency management organization shall
42	report to the state agency the actions of a person, an
43	organization, or an entity that may justify the revocation or
44	suspension of a certificate issued by the state agency to the

Sec. 9. The state agency shall adopt rules under IC 4-22-2 to

person, organization, or entity.



1	implement this chapter.
2	Sec. 10. A county organization or disaster agency that violates
3	this chapter is subject to IC 10-14-11.
4	Sec. 11. A county organization or disaster agency that violates
5	this chapter commits a Class C infraction. Each day of violation
6	constitutes a separate infraction.
7	SECTION 4. IC 10-14-11 IS ADDED TO THE INDIANA CODE
8	AS A NEW CHAPTER TO READ AS FOLLOWS [EFFECTIVE
9	JULY 1, 2005]:
10	Chapter 11. Sanctions
11	Sec. 1. As used in this chapter, "certificate holder" means a
12	person who holds a certificate issued under IC 10-14-9 or
13	IC 10-14-10.
14	Sec. 2. As used in this chapter, "person" means:
15	(1) an individual;
16	(2) a county emergency management organization established
17	and maintained under IC 10-14-3-17; or
18	(3) an interjurisdictional disaster agency in which a county
19	participates under IC 10-14-3-17.
20	Sec. 3. As used in this chapter, "state agency" means the state
21	emergency management agency established by IC 10-14-2-1.
22	Sec. 4. A person holding a certificate issued under IC 10-14-9 or
23	IC 10-14-10 must comply with the applicable standards and rules
24	established under those chapters. A certificate holder is subject to
25	disciplinary sanctions under section 5 of this chapter if the state
26	agency determines that the certificate holder:
27	(1) engaged in or knowingly cooperated in fraud or material
28	deception in order to obtain a certificate, including cheating
29	on a certification examination;
30	(2) engaged in fraud or material deception in the course of
31	professional services or activities;
32	(3) falsified or knowingly allowed another person to falsify
33	attendance records or certificates of completion of continuing
34	education courses required under IC 10-14-9 or IC 10-14-10
35	or rules adopted under IC 10-14-9 or IC 10-14-10;
36	(4) has been or is convicted of a felony;
37	(5) has been or is convicted of a misdemeanor, if the act that
38	resulted in the conviction has a direct bearing on determining
39	if the certificate holder should be entrusted to act as a
40	professional emergency manager;
41	(6) fails to comply and maintain compliance with or violates
42	any applicable provision, standard, or other requirement of
43	IC 10-14-9 or IC 10-14-10 or rules adopted under IC 10-14-9
44	or IC 10-14-10;
45	(7) continues to practice if the certificate holder becomes unfit
46	to practice due to addiction to, abuse of, or dependency on

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1	alcohol or other drugs that endanger the public by impairing
2	the certificate holder's ability to practice safely;
3	(8) assists another person in committing an act that would
4	constitute a ground for disciplinary sanction under this
5	chapter; or
6	(9) allows a certificate issued by the state agency to be:
7	(A) used by another person; or
8	(B) displayed to the public when the certificate is expired,
9	inactive, invalid, revoked, or suspended.
10	Sec. 5. The state agency may issue an order under IC 4-21.5-3-6
11	to impose at least one (1) of the following sanctions if the state
12	agency determines that a certificate holder is subject to
13	disciplinary sanctions under section 4 of this chapter:
14	(1) Revocation of a certificate holder's certificate.
15	(2) Suspension of a certificate holder's certificate.
16	(3) Issuance of a letter of reprimand.
17	Sec. 6. The state agency may deny certification to an applicant
18	who would be subject to disciplinary sanctions under section 5 of
19	this chapter if the applicant:
20	(1) were a certificate holder; or
21	(2) has practiced without a certificate in violation of the law.
22	In this denial of certification, the state agency may prohibit the
23	applicant from reapplying for a certificate for a period established
24	by the state agency.
25	Sec. 7. A decision of the state agency under section 5 or 6 of this
26	chapter may appealed to the state agency under IC 4-21.5-3-7.
27	Sec. 8. The state agency may temporarily suspend a certificate
28	under IC 4-21.5-4 before a final adjudication or during the appeals
29	process if the state agency finds that a certificate holder would
30	represent a clear and immediate danger to the public's health,
31	safety, or property if the certificate holder were allowed to
32	continue to practice.
33	Sec. 9. The state agency may reinstate a certificate that has been
34	suspended under this chapter if the state agency is satisfied that the
35	applicant is able to practice with reasonable skill, competency, and
36	safety to the public. As a condition of reinstatement, the state
37	agency may impose disciplinary or corrective measures authorized
38	under this chapter.
39	Sec. 10. The state agency may not reinstate a certificate that has
40	been revoked under this chapter.
41	Sec. 11. The state agency must be consistent in the application
42	of sanctions authorized under this chapter. Significant departures

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holder's certificate without the written approval of the state

from prior decisions involving similar conduct must be explained

Sec. 12. A certificate holder may not surrender the certificate

in the state agency's findings and orders.

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1 agency. The state agency may impose any conditions appropriate 2 to the surrender or reinstatement of a surrendered certificate. 3 Sec. 13. The state agency shall adopt rules under IC 4-22-2 to 4 implement this chapter. 5 SECTION 5. IC 16-31-3-14 IS AMENDED TO READ AS 6 FOLLOWS [EFFECTIVE JULY 1, 2005]: Sec. 14. (a) A person 7 holding a certificate issued under this article must comply with the 8 applicable standards and rules established under this article. A 9 certificate holder is subject to disciplinary sanctions under subsection 10 (b) if the state emergency management agency determines that the certificate holder: 11 12 (1) engaged in or knowingly cooperated in fraud or material 13 deception in order to obtain a certificate, including cheating on a 14 certification examination; 15 (2) engaged in fraud or material deception in the course of 16 professional services or activities; (3) advertised services or goods in a false or misleading manner; 17 (4) falsified or knowingly allowed another person to falsify 18 19 attendance records or certificates of completion of continuing 20 education courses required under this article or rules adopted 21 under this article: 22 (5) is convicted of a crime, if the act that resulted in the 23 conviction has a direct bearing on determining if the certificate 24 holder should be entrusted to provide emergency medical 25 services; 26 (6) is convicted of violating IC 9-19-14.5; 27 (7) fails to comply and maintain compliance with or violates any 28 applicable provision, standard, or other requirement of this article 29 or rules adopted under this article; 30 (8) continues to practice if the certificate holder becomes unfit to practice due to: 31 32 (A) professional incompetence that includes the undertaking 33 of professional activities that the certificate holder is not qualified by training or experience to undertake; 34 35 (B) failure to keep abreast of current professional theory or 36 practice; 37 (C) physical or mental disability; or (D) addiction to, abuse of, or dependency on alcohol or other 38 39 drugs that endanger the public by impairing the certificate holder's ability to practice safely; 40 41 (9) engages in a course of lewd or immoral conduct in connection 42 with the delivery of services to the public; 43 (10) allows the certificate holder's name or a certificate issued

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experience, or competence;

under this article to be used in connection with a person who

renders services beyond the scope of that person's training,

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1	(11) is subjected to disciplinary action in another state or
2	jurisdiction on grounds similar to those contained in this chapter.
3	For purposes of this subdivision, a certified copy of a record of
4	disciplinary action constitutes prima facie evidence of a
5	disciplinary action in another jurisdiction;
6	(12) assists another person in committing an act that would
7	constitute a ground for disciplinary sanction under this chapter;
8	or
9	(13) allows a certificate issued by the commission to be:
10	(A) used by another person; or
11	(B) displayed to the public when the certificate is expired,
12	inactive, invalid, revoked, or suspended.
13	(b) The state emergency management agency may issue an order
14	under IC 4-21.5-3-6 to impose one (1) or more of the following
15	sanctions if the state emergency management agency determines that
16	a certificate holder is subject to disciplinary sanctions under subsection
17	(a):
18	(1) Revocation of a certificate holder's certificate for a period not
19	to exceed seven (7) years.
20	(2) Suspension of a certificate holder's certificate for a period not
21	to exceed seven (7) years.
22	(3) Censure of a certificate holder.
23	(4) Issuance of a letter of reprimand.
24	(5) Assessment of a civil penalty against the certificate holder in
25	accordance with the following:
26	(A) The civil penalty may not exceed five hundred dollars
27	(\$500) per day per violation.
28	(B) If the certificate holder fails to pay the civil penalty within
29	the time specified by the state emergency management agency,
30	the state emergency management agency may suspend the
31	certificate holder's certificate without additional proceedings.
32	(6) Placement of a certificate holder on probation status and
33	requirement of the certificate holder to:
34	(A) report regularly to the state emergency management
35	agency upon the matters that are the basis of probation;
36	(B) limit practice to those areas prescribed by the state
37	emergency management agency;
38	(C) continue or renew professional education approved by the
39	state emergency management agency until a satisfactory
40	degree of skill has been attained in those areas that are the
41	basis of the probation; or
42	(D) perform or refrain from performing any acts, including
43	community restitution or service without compensation, that
44	the state emergency management agency considers appropriate

certificate holder.

to the public interest or to the rehabilitation or treatment of the

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The state emergency management agency may withdraw or modify this probation if the state emergency management agency finds after a hearing that the deficiency that required disciplinary action is remedied or that changed circumstances warrant a modification of the order.

- (c) If an applicant or a certificate holder has engaged in or knowingly cooperated in fraud or material deception to obtain a certificate, including cheating on the certification examination, the state emergency management agency may rescind the certificate if it has been granted, void the examination or other fraudulent or deceptive material, and prohibit the applicant from reapplying for the certificate for a length of time established by the state emergency management agency.
- (d) The state emergency management agency may deny certification to an applicant who would be subject to disciplinary sanctions under subsection (b) if that person were a certificate holder, has had disciplinary action taken against the applicant or the applicant's certificate to practice in another state or jurisdiction, or has practiced without a certificate in violation of the law. A certified copy of the record of disciplinary action is conclusive evidence of the other jurisdiction's disciplinary action.
- (e) The state emergency management agency may order a certificate holder to submit to a reasonable physical or mental examination if the certificate holder's physical or mental capacity to practice safely and competently is at issue in a disciplinary proceeding. Failure to comply with a state emergency management agency order to submit to a physical or mental examination makes a certificate holder liable to temporary suspension under subsection (i).
- (f) Except as provided under subsections (a) and (g) and section 14.5 of this chapter, a certificate may not be denied, revoked, or suspended because the applicant or certificate holder has been convicted of an offense. The acts from which the applicant's or certificate holder's conviction resulted may be considered as to whether the applicant or certificate holder should be entrusted to serve the public in a specific capacity.
- (g) The state emergency management agency may deny, suspend, or revoke a certificate issued under this chapter if the individual who holds or is applying for the certificate is convicted of any of the following:
 - (1) Possession of cocaine, a narcotic drug, or methamphetamine under IC 35-48-4-6.
 - (2) Possession of a controlled substance under IC 35-48-4-7(a).
 - (3) Fraudulently obtaining a controlled substance under IC 35-48-4-7(b).
 - (4) Manufacture of paraphernalia as a Class D felony under IC 35-48-4-8.1(b).



(5) Dealing	in	paraphernalia	as	a	Class	D	felony	under
IC 35-48-4-8	3.5(t	o).						

- (6) Possession of paraphernalia as a Class D felony under IC 35-48-4-8.3(b).
- (7) Possession of marijuana, hash oil, or hashish as a Class D felony under IC 35-48-4-11.
- (8) Maintaining a common nuisance under IC 35-48-4-13.
- (9) An offense relating to registration, labeling, and prescription forms under IC 35-48-4-14.
- (10) Conspiracy under IC 35-41-5-2 to commit an offense listed in subdivisions (1) through (9).
- (11) Attempt under IC 35-41-5-1 to commit an offense listed in subdivisions (1) through (10).
- (12) An offense in any other jurisdiction in which the elements of the offense for which the conviction was entered are substantially similar to the elements of an offense described by subdivisions (1) through (11).
- (h) A decision of the state emergency management agency under subsections (b) through (g) may be appealed to the commission under IC 4-21.5-3-7.
- (i) The state emergency management agency may temporarily suspend a certificate holder's certificate under IC 4-21.5-4 before a final adjudication or during the appeals process if the state emergency management agency finds that a certificate holder would represent a clear and immediate danger to the public's health, safety, or property if the certificate holder were allowed to continue to practice.
- (j) On receipt of a complaint or information alleging that a person certified under this chapter or IC 16-31-3.5 has engaged in or is engaging in a practice that is subject to disciplinary sanctions under this chapter, the state emergency management agency must initiate an investigation against the person.
- (k) The state emergency management agency shall conduct a factfinding investigation as the state emergency management agency considers proper in relation to the complaint.
- (l) A complaint and information pertaining to the complaint are confidential until the state emergency management agency issues an order concerning the complaint under IC 4-21.5-3-6 or IC 4-21.5-4. Until such an order is issued, a person employed by the state emergency management agency may not disclose or further the disclosure of information concerning the complaint unless the disclosure is required:
 - (1) by the order of an administrative law judge or a court; or
 - (2) for advancement of an investigation.
- (1) (m) The state emergency management agency may reinstate a certificate that has been suspended under this section if the state emergency management agency is satisfied that the applicant is able to



practice with reasonable skill, competency, and safety to the public. As a condition of reinstatement, the state emergency management agency may impose disciplinary or corrective measures authorized under this chapter.

- (m) (n) The state emergency management agency may not reinstate a certificate that has been revoked under this chapter.
- (n) (o) The state emergency management agency must be consistent in the application of sanctions authorized in this chapter. Significant departures from prior decisions involving similar conduct must be explained in the state emergency management agency's findings or orders.
- (o) (p) A certificate holder may not surrender the certificate holder's certificate without the written approval of the state emergency management agency, and the state emergency management agency may impose any conditions appropriate to the surrender or reinstatement of a surrendered certificate.
- (p) (q) For purposes of this section, "certificate holder" means a person who holds:
 - (1) an unlimited certificate;
 - (2) a limited or probationary certificate; or
- 21 (3) an inactive certificate.

